

APPLICATION FOR HIGH SUB CHIEF RANGER
CONFIDENTIAL

Please delete as appropriate

Fitness and Propriety		YES	NO
1.1 a	Have you ever been convicted of any offence involving fraud, theft, false accounting or other dishonesty or an offence (whether or not in the United Kingdom) relating to companies, building societies, industrial and provident societies, credit unions, friendly societies, insurance, banking or other financial services, insolvency, consumer credit or consumer protection, money laundering, market manipulations or insider dealing? (Convictions spent under the Rehabilitation of Offenders Act 1974 must be included).	YES	NO
1.1 b	Are you the subject of any current criminal investigations?	YES	NO
1.2	Have you any convictions for any offences other than those listed in 1.1 above which are not spent, whether or not in the United Kingdom (excluding traffic offences unless these resulted in a ban from driving or involved driving without insurance)?	YES	NO
1.3 a	Are you or have you ever been, the subject of any civil proceedings, arbitration or litigation, including proceedings that may lead to a County Court Judgment ("CCJ") or other judgment debts, in the United Kingdom or elsewhere?	YES	NO
1.3 b	Are you aware of any intention to begin such proceedings against you in the future?	YES	NO
1.4	Do you have any judgment debts (including CCJs) made under a court order still outstanding, whether in full or part?	YES	NO
1.5	Have you ever failed to satisfy any such judgment debts within one year of the making of the order?	YES	NO
1.6 a	Are you, or have you ever been, the subject of any bankruptcy proceedings, or proceedings for the sequestration of your estate?	YES	NO
1.6 b	Have you ever entered into a deed of arrangement or an individual voluntary arrangement, (or in Scotland a trust deed) or other agreement in favour of your creditors, or is the applicant doing so?	YES	NO
1.7	Do you have any outstanding financial obligations arising from regulated activities, which you have conducted in the past, whether in the United Kingdom or overseas? (In the case of advisers, this will include any outstanding Liabilities arising from commissions paid for the sale of packaged products that have lapsed.)	YES	NO
1.8	Have you ever been found guilty of conducting any unauthorised regulated activities or been investigated for possible conduct of unauthorised regulated activities	YES	NO
1.9	Are you, or have you ever been, the subject of an investigation into allegations of misconduct or malpractice in connection with any business activity?	YES	NO
1.10	Have you ever, either in the United Kingdom, or elsewhere –		
a	been refused, restricted in, or had suspended, the right to carry on any trade, business or profession for which specific licence, authorisation, registration, membership or other permission is required?	YES	NO
b	been disqualified by a court from acting as a director of a company or from acting in a management capacity or conducting the affairs of any company, partnership or unincorporated association?	YES	NO

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c	been the subject of a disqualification direction under section 59 of the Financial Services Act 1986 or a prohibition order, under section 56 of the Financial Services and Markets Act 2000, or received a warning notice to make such a direction or order?	YES	NO
1.11	In respect of activities regulated by the FSA or any other regulatory body, have you, or has any company, partnership or unincorporated association of which you are or have been a controller, director, senior manager, partner or company secretary, during your association with that entity and for a period of three years after you ceased to be associated with it, ever –		
a	been refused, had revoked, restricted or terminated, any licence, authorisation, registration, notification, membership or other permission granted by any such body?	YES	NO
b	been criticised, censured, disciplined, suspended, expelled, fined, or been the subject of any other disciplinary or intervention action by any such body?	YES	NO
c	resigned whilst under investigation by, or been required to resign from, any such body?	YES	NO
d	decided, after making an application for any licence, authorisation, registration, notification, membership or other permission granted by any such body, not to proceed with it?	YES	NO
e	been the subject of any civil action which has resulted in a finding against the applicant or it by a court?	YES	NO
1.12	Has the company, partnership, or unincorporated association of which you are or have been a controller, director, senior manager, partner, or company secretary, in the United Kingdom or elsewhere, at any time during your involvement or within one year of such an involvement?		
a.	been adjudged by a court liable for any fraud, misfeasance, wrongful trading or other misconduct?	YES	NO
b.	been investigated or been involved in an investigation by an inspector appointed under companies or any other legislation, or required to produce documents to the Secretary of State, or any other authority, under any such legislation?	YES	NO
c.	been convicted of any criminal offence, censured, disciplined or publicly criticised, by any inquiry, by the Takeover Panel or any governmental or statutory authority or any other regulatory body (other than as already indicated under 1.11(b) above)?	YES	NO
d.	been placed into liquidation?	YES	NO
1.13	Have you ever had disciplinary action taken against you by any professional or trade body?	YES	NO
1.14	Have you ever been asked to resign as a director, trustee or fiduciary?	YES	NO

If you have answered "yes" to any of the above questions, please provide an explanation on an additional sheet



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Equal Opportunities Statement

Name _____ Date of Birth: _____

Your name is required for administrative purposes within Human Resources only

Please tick as appropriate

1. What is your gender? Female Male
2. What is your marital status? Please tick one box only.
- Single Married/Couple/Civil Partnership
- Separated (but still legally married) Divorced Widowed
3. What is your country of birth? _____
4. What is your ethnic group (using the Census 2001 categories)?
Please read the list below and tick **one** box that most nearly describes your ethnic origin.

White

- British Irish
Any other White background. Please describe _____

Mixed

- White & Black Caribbean White and Black African White and Asian
Any other Mixed background. Please describe _____

Asian or Asian British

- Indian Bangladeshi Pakistani
Any other Asian background. Please describe _____

Black or Black British

- Caribbean African
Any other Black background. Please describe _____

Chinese or other ethnic group

- Chinese
Any other ethnic group. Please describe _____

5. If you wish to do so, you may tick one of the following in addition to stating your ethnic group:
- English Northern Irish
- Scottish Welsh
6. Do you carry out a caring role for any of the following categories of people? Please tick all that apply.
- Child(ren) under 18 Elderly relative(s) Child(ren) or relatives(s) with a disability
7. If you believe you have a disability as defined by the Disability Discrimination Act, what type of disability do you have? Please tick all that apply.
- Blind/partially sighted Deaf/hearing impaired Wheelchair user
- Other mobility limitation Dyslexia or other specific learning difficulty Mental Health difficulty
- Speech difficulty Chronic medical condition or other unseen disability (e.g. asthma epilepsy)